

**BEFORE THE NEW MEXICO PUBLIC REGULATION COMMISSION**

IN THE MATTER OF THE STATE RURAL )  
UNIVERSAL SERVICE FUND 2020 PER )  
CONNECTION SURCHARGE AND FUND )  
SIZE )

Case No. 19-00210-UT

FILED IN OFFICE OF

SEP 24 2019

NM PUBLIC REGULATION COMM

RECORDS MANAGEMENT BUREAU

**NOTICE OF FILING OF SRUSF FUND ADMINISTRATOR'S 2020 FUND SIZE AND  
RATE ASSESSMENT MEMORANDUM**

NOTICE IS HEREBY GIVEN that the following attached **SRUSF Fund Administrator's 2020 Fund Size and Rate Assessment Memorandum** will be placed in the New Mexico Public Regulation Commission's ("Commission") official file in Case 19-00210-UT. The Notice will be sent to all parties as shown on the attached Certificate of Service.

**DATED** this 24<sup>th</sup> day of September, 2019.

**NEW MEXICO PUBLIC REGULATION COMMISSION**



**Russell Fisk, Associate General Counsel**



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**To: New Mexico Public Regulation Commission**

**From: Dennis C. Smith, Consultant, GVNW Consulting, Inc.**

**Date: September 25, 2019**

**Re: Case No. 19-00210-UT New Mexico State Rural USF 2020 Surcharge Calculation and Fund Size**

Dear Commissioners and Commission Staff,

GVNW Consulting, Inc. (GVNW) is required under 17.11.10.19 and 17.11.10.20 NMAC to "determine the amount of the fund for [2020] and submit its findings to the [C]ommission on or before September 1 [2019] [ . . . ]". On August 28, 2019 the Commission issued a [v]ariance from the requirement in 17.11.10.19.A, directing GVNW to "provide to the Commission 2020 fund size calculations and per-connection calculations under both scenarios described in Paragraph 15 [Case No. 19-00210-UT] above, (\$18 vs. \$26.98 residential benchmark rate), taking into account any residential basic local exchange rate changes expected from the ARS recipients in response to a potential \$26.98 residential benchmark rate for 2020 [ . . . ] on or before September 25, 2019." GVNW has provided the Commission with several rate scenarios based on (1) fund requirements for 2020, and (2) comparisons between an \$18 and a \$26.98 residential benchmark rate for 2020. All rate scenarios and fund size projections are provided in the Attachment to this memo. GVNW's estimate of the 2020 fund size and recommended surcharge rate are detailed below.

All rate scenarios are based on submissions of funding requirements by Access Reduction Support ("ARS") Recipients plus additional funds allocated to Commission approved Broadband Projects to reach a total 2020 Funding Requirement.

Effective October 2018, contributions were made by Contributing Carriers via a per-connection methodology versus the revenue methodology followed in the past. For the calculation of the 2020 assessment rate, GVNW used the lowest number of monthly connections submitted in 2019, which were annualized for a total 26,030,160 connections. There has been less than a year's experience with the connection-based methodology, thereby providing little track record for a secure number of connections going forward. GVNW chose to use the most conservative number of connections reported to be prudent in calculating a rate that would provide the required funding for 2020.

## **RATE FLOOR COMPARISON**

A comparison between the Rate Floors of \$18.00 and \$26.98 provide support to ARS Recipients of \$17,289,739 and \$10,452,747, respectively. An increase of the Rate Floor from \$18.00 to \$26.98 would result in a decrease of ARS Support by an estimated \$6,836,992, or 39.54%.

## **SCENARIO ONE**

Based on a Rate Floor of \$18, the total Funding Requirement estimated from ARS Recipient submissions is \$21,151,040.84. With the addition of the \$5,000,000 required for the funding of Broadband projects, the total Funding Requirement for 2020 is \$26,151,040.84.

Other current funding requirements include the outstanding 2018 Broadband Award Disbursements, in Case No. 18-00103-UT, in the amount of \$2,994,015.66 and the \$5,000,000 approved but not awarded Broadband disbursements for 2019 in Case No. 19-00106-UT. Adding a prudent fund balance of \$500,000 [or as near as possible in the rate calculation], and taking into account the expected 2019 end of year Fund's Bank Cash Balance of \$11,824,989.40, the total funds required by the Surcharge in 2020 is \$22,906,540.80.

To calculate the 2020 Surcharge, GVNW divided the total funds required by the Surcharge by the number of Connections reported, which totals \$.88 [88 cents] per connection.

## **SCENARIO TWO**

The current cap on the total Funding of the NMRUSF is \$30,000,000, therefore GVNW calculated the rate that would reach close to that number. Calculated with the same methodology as Scenario One, the resulting rate of \$1.02 would add an additional \$3,725,000 in the fund, which could be available for additional Broadband projects if the Commission so chose.

## **SCENARIO THREE**

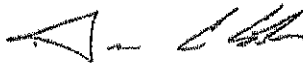
Scenario Three is calculated the same way as Scenario One, but instead of using a Rate Floor of \$18, it uses a Rate Floor of \$26.98. The calculated rate totals \$.62 [62 cents] per connection.

#### SCENARIO FOUR

Scenario Four is calculated the same way as Scenario Two, but using a Rate Floor of \$26.98. The calculated rate totals of \$1.02 per connection, which leaves a total of \$10,550,000 in the fund for possible additional broadband projects.

GVNW appreciates the Commission's attention to this matter, and we look forward to implementing your decisions. We are also happy to present this information and make ourselves available to answer any questions at the Open Hearing on October 2, 2019.

Regards,



Dennis C. Smith  
Consultant  
GVNW Consulting, Inc.

#### Attachment

cc: T. Becenti Aguilar, Chair, NMPRC  
V. Espinoza, Vice-Chair, NMPRC  
J. Byrd, NMPRC  
S. Fischmann, NMPRC  
C. Hall, NMPRC  
R. Fisk, NMPRC  
J. Ellis, NMPRC  
M. Ripperger, NMPRC



**NEW MEXICO STATE RURAL UNIVERSAL SERVICE FUND**  
Rate Floor Comparison 2020

\$18 Rate Floor			\$26.98 Rate Floor		
	2020 Monthly	2020 Annual		2020 Monthly	2020 Annual
Connection Projection	2,169,180	26,030,160	Connection Projection	2,169,180	26,030,160
Funding Requirement	Monthly	Annual	Funding Requirement	Monthly	Annual
Access Reduction <sup>1</sup>	\$1,440,812	\$17,289,739	Access Reduction <sup>1</sup>	\$871,062	\$10,452,747
Need Support <sup>2</sup>	\$116,667	\$1,400,000	Need Support <sup>2</sup>	\$116,667	\$1,400,000
LITAP <sup>3</sup>	\$36,000	\$432,000	LITAP <sup>3</sup>	\$36,000	\$432,000
Broadband <sup>4</sup>	\$416,667	\$5,000,000	Broadband <sup>4</sup>	\$416,667	\$5,000,000
True-Ups (60 Months) <sup>5</sup>	\$151,650	\$1,819,802	True-Ups (60 Months) <sup>5</sup>	\$151,650	\$1,819,802
Admin Fees	\$11,208	\$134,500	Admin Fees	\$11,208	\$134,500
Legal Fees	\$4,167	\$50,000	Legal Fees	\$4,167	\$50,000
Audit Fees	\$2,083	\$25,000	Audit Fees	\$2,083	\$25,000
Sub Total	\$2,179,254	\$26,151,040.84	Sub Total	\$1,609,504	\$19,314,049.12

<sup>1</sup> Access Reduction calculated from NMECG filings in 19-00210-UT and/or estimations calculated from recipient reporting to GVNW.

<sup>2</sup> Need Support is Sacred Wind; Amount is \$1,400,000; 2020 is final year of support.

<sup>3</sup> LITAP is based off of last 12 month average.

<sup>4</sup> Broadband Fund is projected for \$5,000,000.

<sup>5</sup> True-up payments are based on 60 month payout schedule started in February 2017, after 3 payments (Oct-Dec 2016) at a 36 month schedule



**NEW MEXICO STATE RURAL UNIVERSAL SERVICE FUND**  
Assessment Rate Scenarios for 2020

	Scenario 1 \$18 Rate Floor	Scenario 2 \$18 Rate Floor	Scenario 3 \$26.98 Rate Floor	Scenario 4 \$26.98 Rate Floor
	Fully Funds FY 2020 w/ Prudent Fund Balance of \$500,000, Does Not Decrease Support to Fund Recipients, & No Additional Broadband Funding	Fully Funds FY 2020 w/ Prudent Fund Balance of \$500,000, Does Not Decrease Support to Fund Recipients, & Creates Additional Broadband Funding	Fully Funds FY 2020 w/ Prudent Fund Balance of \$500,000, Decreases Support to Fund Recipients, & No Additional Broadband Funding	Fully Funds FY 2020 w/ Prudent Fund Balance of \$500,000, Decreases Support to Fund Recipients, & Creates Additional Broadband Funding
<b>Revenue Requirement Calculation</b>				
Funding Requirement 2020 <sup>1</sup>	\$ 21,151,040.84	\$ 21,151,040.84	\$ 14,314,049.12	\$ 14,314,049.12
Broadband Projects	5,000,000.00	5,000,000.00	5,000,000.00	5,000,000.00
Additional Broadband Funding Available (in Excess of \$5million) <sup>2</sup>	-	3,725,000.00	-	10,550,000.00
<b>Total Funding Requirement 2020</b>	<b>\$ 26,151,040.84</b>	<b>\$ 29,876,040.84</b>	<b>\$ 19,314,049.12</b>	<b>\$ 29,864,049.12</b>
<b>Broadband 2018 &amp; 2019 Funding</b>				
Outstanding 2018 Broadband Award Disbursements	\$ 2,994,015.66	\$ 2,994,015.66	\$ 2,994,015.66	\$ 2,994,015.66
Unawarded 2019 Broadband Award Disbursements	5,000,000.00	5,000,000.00	5,000,000.00	5,000,000.00
Prudent Fund Balance [rounded] <sup>3</sup>	586,473.70	505,696.10	655,623.82	517,687.82
Projected Fund Cash Balance EOY FY2019	(11,824,989.40)	(11,824,989.40)	(11,824,989.40)	(11,824,989.40)
<b>Total Funds Required by Surcharge</b>	<b>\$ 22,906,540.80</b>	<b>\$ 26,550,763.20</b>	<b>\$ 16,138,699.20</b>	<b>\$ 26,550,763.20</b>
<b>Surcharge Calculation</b>				
Net Projected Connection Count	26,030,160	26,030,160	26,030,160	26,030,160
<b>Surcharge</b>	<b>\$ 0.88</b>	<b>\$ 1.02</b>	<b>\$ 0.62</b>	<b>\$ 1.02</b>
<b>Net Revenue from Surcharge</b>	<b>\$ 22,906,540.80</b>	<b>\$ 26,550,763.20</b>	<b>\$ 16,138,699.20</b>	<b>\$ 26,550,763.20</b>

<sup>1</sup> Funding Requirement 2020 retrieved from 2020 Rate Comparison (Broadband Funding Requirement listed separately).

<sup>2</sup> Additional Broadband Funding in Excess of \$5 million displays additional broadband funding available to be collected/distributed while maintaining under the \$30 million cap for the Fund.

<sup>3</sup> Prudent Fund Balance target is \$500,000 or greater, as allowable as a function of Surcharge, Net Projected Connection Count, and Funding Requirement.

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**FILED IN OFFICE OF**

**SEP 24 2019**

**CERTIFICATE OF SERVICE**

**NM PUBLIC REGULATION COMM  
RECORDS MANAGEMENT BUREAU**

**I HEREBY CERTIFY** that a true and correct copy of the foregoing **Notice of Filing of**

**SRUSF Fund Administrator's 2020 Fund Size and Rate Assessment Memorandum** issued

by the New Mexico Public Regulation Commission on September 24<sup>th</sup>, 2019, was sent via email

to the parties indicated below:

Marco E. Gonzales  
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 Easton Telecom., LLC  
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 Enhanced Comms. Grp, LLC  
 Enhanced Comm. Newtork, Inc.  
 Securus Technologies, Inc.  
 France Telecomm. Corporate Solutions LLC  
 Operator Service Co., LLC  
 OPEX Communications, Inc.  
 Pactec Comms./USLEC  
 iTel  
 PNG Telecom., Inc.  
 PTUS, Inc.  
 Public Com. Services, Inc.  
 Quantumshift Comms., Inc.  
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 Reduced Rate Long Distance  
 Reliant Comms., Inc.  
 800 Response Information Services LLC  
 Sierra Communications, Inc.  
 SBC Long Distance, LLC  
 Sprint Coms. Co. LP  
 CenturyLink Public Comms.  
 Telecom Management, Inc.  
 Telmex USA, LLC  
 Telrite Corporation  
 Touchtone Comms., Inc.  
 TTI National, Inc.  
 Tularosa Coms., Inc.  
 inContact, dba UCN, Inc.  
 U.S. South Comms., Inc.  
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 Voicecom Telecom., LLC  
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 Navajo Comms. Co., Inc.  
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 Yucca Telecom. Systems (CLEC)  
 Cyber Mesa Computer Systems Inc  
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 TW Telecom of New Mexico  
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 Virgin Mobile USA, LLC  
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 Bandwidth.com CLEC, LLC  
 TerraCom, Inc.  
 Zayo Group, LLC  
 Transtelco, Inc.  
 Pay Tel Communications Inc.  
 Network Services Solutions  
 MJ2IP, LLC/City Hosted Solutions  
 Commnet Wireless, LLC  
 Ting, Inc.  
 Ready Wireless, LLC  
 Tanager Telecoms. NM LLC  
 UVNV, Inc.  
 MetroPCS Texas LLC  
 Cricket Wireless, LLC  
 TWC Digital Phone LLC  
 Digium Cloud Services, LLC  
 Conterra Ultra Broadband  
 Jive Communications, Inc.  
 NetFortis Acquisition Co., Inc.  
 S-Net Communications, Inc.  
 Pulsar360, Inc.  
 Thinking Phone Networks, Inc.  
 Valley Connections LLC  
 IDS Metrocom LLC  
 Metro Optical Solutions, Inc.  
 Stream Corns., LLC  
 Magna5 fka X5 OPCO LLC  
 MegaPath Cloud Co., LLC  
 Peerless Network, Inc.  
 Integrated Services, Inc  
 West Safety Corns., Inc. (Intrado)  
 Windstream Southwest Long Distance, LP  
 Airus, Inc.  
 Nextiva, Inc.  
 DSI-ITI, LLC  
 TracFone Wireless, Inc.  
 WiMacTel, Inc.  
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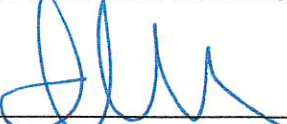
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**DATED** this 24<sup>th</sup> day of September, 2019.

**NEW MEXICO PUBLIC REGULATION COMMISSION**

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